

## Appendix 2 – Impact Assessment Level 1: Initial screening assessment

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| <b>Subject of assessment:</b>          | Local Plan Review                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                      |                                          |                                          |
| <b>Coverage:</b>                       | Site-specific                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                      |                                          |                                          |
| <b>This is a decision relating to:</b> | <input type="checkbox"/> <b>Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <input checked="" type="checkbox"/> <b>Policy</b>    | <input type="checkbox"/> <b>Service</b>  | <input type="checkbox"/> <b>Function</b> |
|                                        | <input type="checkbox"/> <b>Process/procedure</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> <b>Programme</b>            | <input type="checkbox"/> <b>Project</b>  | <input type="checkbox"/> <b>Review</b>   |
|                                        | <input type="checkbox"/> <b>Organisational change</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> <b>Other (please state)</b> |                                          |                                          |
| <b>It is a:</b>                        | <b>New approach:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/>                             | <b>Revision of an existing approach:</b> | <input checked="" type="checkbox"/>      |
| <b>It is driven by:</b>                | <b>Legislation:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <input checked="" type="checkbox"/>                  | <b>Local or corporate requirements:</b>  | <input type="checkbox"/>                 |
| <b>Description:</b>                    | <p>This is the start of the process to formally review and replace the Councils existing statutorily adopted Local Plan.</p> <p><b>Key aims, objectives and activities</b><br/>The key policy areas of the Local Plan will include: the overall vision and strategy; delivering the Investment Prospectus projects; economic growth; housing; infrastructure; the natural and green environment; the historic environment; and generic development management policies.</p> <p><b>Statutory drivers</b><br/>The Council must prepare Local Plans and associated planning documents, under the requirements of Planning and Compulsory Purchase Act 2004, the Localism Act 2011, and the National Planning Policy Framework (NPPF).</p> <p><b>Key stakeholders and intended beneficiaries (internal and external as appropriate)</b><br/>The Council, developers, businesses, investors and the local community.</p> <p><b>Intended outcomes</b><br/>To set out the programme and scope of the review for the Council's revised Local Plan.</p> |                                                      |                                          |                                          |
| <b>Live date:</b>                      | July 2019.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                      |                                          |                                          |
| <b>Lifespan:</b>                       | Until the revised Local Plan is finalised.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                      |                                          |                                          |
| <b>Date of next review:</b>            | This is part of the ongoing process for reviewing the Local Plan. The next stage in the plan making process will be the Issues/Preferred Options stage.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                      |                                          |                                          |

| Screening questions                                                                                                                                                                                                                                         | Response |     |           | Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                             | No       | Yes | Uncertain |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <p><b>Human Rights</b><br/>           Could the decision impact negatively on individual Human Rights as enshrined in UK legislation? *</p>                                                                                                                 | ☒        | ☐   | ☐         | <p>The Local Plan will be subject to public participation in accordance with the Council's Statement of Community Involvement. It will provide the opportunity for everyone to understand the Council' approach to changing its planning policies and to make formal representations, which will be taken into account during a formal Independent Examination.</p> <p>In light of the above, it is not considered that the guidance will have an adverse impact on individual human rights.</p>                              |
| <p><b>Equality</b><br/>           Could the decision result in adverse differential impacts on groups or individuals with characteristics protected in UK equality law? Could the decision impact differently on other commonly disadvantaged groups? *</p> | ☒        | ☐   | ☐         | <p>The Local Plan will be subject to public participation in accordance with the Council's Statement of Community Involvement. It will provide the opportunity for everyone to understand the Council' approach to changing its planning policies and to make formal representations, which will be taken into account during a formal Independent Examination.</p> <p>In light of the above, it is not considered that the guidance will have an adverse impact on different groups or individuals in terms of equality.</p> |

\* Consult the Impact Assessment further guidance appendix for details on the issues covered by each of these broad questions prior to completion.

| Screening questions                                                                                                                                                                                                                                  | Response |   |   | Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
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| <p><b>Community cohesion</b><br/>           Could the decision impact negatively on relationships between different groups, communities of interest or neighbourhoods within the town? *</p>                                                         | ☒        | ☐ | ☐ | <p>The Local Plan will be subject to public participation in accordance with the Council's Statement of Community Involvement. It will provide the opportunity for everyone to understand the Council' approach to changing its planning policies and to make formal representations, which will be taken into account during a formal Independent Examination.</p> <p>In light of the above, it is not considered that the guidance will impact negatively on relationships between different groups, communities of interest or neighbourhoods within the town.</p>                     |
| <p><b>Sustainable Community Strategy objectives</b><br/>           Could the decision impact negatively on the achievement of the vision for Middlesbrough? Does the decision impact on statutory duties associated with these key objectives? *</p> | ☒        | ☐ | ☐ | <p>The Local Plan will be subject to public participation in accordance with the Council's Statement of Community Involvement. It will provide the opportunity for everyone to understand the Council' approach to changing its planning policies and to make formal representations, which will be taken into account during a formal Independent Examination.</p> <p>In light of the above, it is not considered that the guidance will impact negatively on the Council's sustainable community strategy objectives.</p>                                                               |
| <p><b>Organisational management / transformation</b><br/>           Could the decision impact negatively on organisational management or the transformation of the Council's services as set out in its transformation programme? *</p>              | ☒        | ☐ | ☐ | <p>The Local Plan will be subject to public participation in accordance with the Council's Statement of Community Involvement. It will provide the opportunity for everyone to understand the Council' approach to changing its planning policies and to make formal representations, which will be taken into account during a formal Independent Examination.</p> <p>In light of the above, it is not considered that the guidance will impact negatively on the organisational management or the transformation of the Council's services set out in its transformation programme.</p> |

| Screening questions                                                                                                                                                                                                                                                                                     | Response | Evidence |
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| <p><b>Next steps:</b></p> <ul style="list-style-type: none"> <li>➤ If the answer to all of the above screening questions is No then the process is completed.</li> <li>➤ If the answer of any of the questions is Yes or Uncertain, then a Level 2 Full Impact Assessment must be completed.</li> </ul> |          |          |

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|---------------------------------|--------------|-------------------------|--------------|
| <b>Assessment completed by:</b> | Paul Clarke  | <b>Head of Service:</b> | Paul Clarke  |
| <b>Date:</b>                    | 16 July 2019 | <b>Date:</b>            | 16 July 2019 |