The Teeswide Safeguarding Adults Board (TSAB) was established in response to the requirements of the Care Act 2014, which created a legal framework for adult safeguarding. The aim of the Board is to ensure that there are effective arrangements in place across Tees to help protect adults with care and support needs from abuse and neglect.

This Annual Report represents a summary of the collaborative work undertaken by the Board, its partners and sub-groups to meet the objectives of the TSAB Strategic Business Plan from 1 April 2018 to 31 March 2019.

The TSAB Strategic Business Plan is published on the TSAB website, along with a copy of the Annual Report; https://www.tsab.org.uk/

Our safeguarding arrangements will effectively prevent and respond to adult abuse.

The TSAB vision statement was refreshed for 2018-19 to place more emphasis on preventing abuse. This supports the development of initiatives to improve prevention, identification and the response to abuse and neglect.
Board Approach and Assurance

**Sub-Groups**
- Safeguarding Adult Review (SAR)
- Policy, Practice & Procedure (PPP)
- Communication & Engagement (CE)
- Learning, Training & Development (LTD)
- Operational Leads (OLSG)
- Performance, Audit & Quality (PAQ)

**Task & Finish Groups**
- Policy, Practice & Procedure
- Medication Guidance
- Incidents between Residents
- Conference
- SAR 3
- Training Needs Analysis
- Virtual College

**TSAB Member Organisations**

The Board is made up of six statutory partners:
- Hartlepool Borough Council
- Middlesbrough Borough Council
- Redcar and Cleveland Borough Council
- Stockton-on-Tees Borough Council
- Cleveland Police
- South Tees Clinical Commissioning Group and Hartlepool & Stockton Clinical Commissioning Group

There are a further 18 member organisations across the statutory, voluntary and community sectors involved in safeguarding adults across Tees.

- Care Quality Commission
- Catalyst (Voluntary Development Agency)
- Cleveland Fire Brigade
- Community Rehabilitation Company: Durham Tees Valley
- Healthwatch Hartlepool
- Healthwatch South Tees
- Healthwatch Stockton
- HM Prison Service
- Middlesbrough Voluntary Development Agency
- National Probation Service
- North East Ambulance Service
- North Tees and Hartlepool NHS Foundation Trust
- Office of the Police and Crime Commissioner for Cleveland
- Redcar and Cleveland Voluntary Development Agency
- South Tees Hospitals NHS Foundation Trust
- Tees, Esk and Wear Valleys NHS Foundation Trust
- Teesside University
- Thirteen Housing Group
Safeguarding Overview for 2018-19

Concerns were received, on average, per week across Tees: 93

Section 42 enquiries were carried out, on average, per week across Tees: 40

Increase in concerns from family/friend/self: 39%

Increase in the number of Section 42 Enquiries: 47%

Increase in the number of Domestic Abuse Section 42 Enquiries: 77%

Increase in the number of Section 42 Enquiries for Adults aged 25-34: 40%

56% the source of risk was someone known to the adult: 1,170

Of Concerns led to a Section 42 Enquiry: 43%

Of Concluded Section 42 Enquiries, a risk was identified and action was taken: 81%

Section 42 enquiries: 39% (18-64), 61% (66+)
The number of Section 42 Enquiries increased as a result of improved reporting mechanisms implemented during Quarter 3 of this year by two of the Local Authorities.

This improvement contributed to the achievement of Performance Indicator 2 – ‘Percentage of Concerns leading to a Section 42 Enquiry’. The achievement of this Performance Indicator has aligned Tees with previously reported national figures.

It is anticipated that the number of Section 42 Enquiries will continue to increase in the new reporting year as a result of these improvements.

Where did Adult Abuse Occur?

- **Own Home**: 43%
- **Care Home**: 41%
- **Other**: 6%
- **Hospital**: 5%
- **Community**: 5%

Types of Adult Abuse

- **Physical**: 563
- **Neglect & Acts of Omission**: 539
- **Financial & Material**: 332
- **Domestic**: 218
- **Psychological**: 115
- **Self Neglect**: 93
- **Sexual**: 77
- **Organisational**: 45
- **Sexual Exploitation**: 45
- **Discriminatory**: 10
- **Modern Slavery**: 7

Medication Incidents

Overall there was a 20% reduction in the number of Concerns/Section 42 Enquiries in relation to Medication Errors/Omissions during this reporting year compared to 2017-18. This is likely to be the direct result of the work undertaken in 2017-18 to provide a clearer framework and guidance for dealing with medication issues.

[https://www.tsab.org.uk/keyinformation/policiesstrategies/](https://www.tsab.org.uk/keyinformation/policiesstrategies/)
Key Achievements

2018

• The Local Criminal Justice Board attended TSAB meeting
• New E-Learning contract agreed to access Adult & Children Safeguarding Courses
• The Inter-agency Safeguarding Adults concern form reviewed to place more emphasis on the ‘voice of the adult’.
• The first multi-agency audit carried out, under the new formal process

2019

• Annual Conference - ‘Challenge of Prevention’ attended by 86 delegates from 40 organisations
• The first Legal Literacy Course attended by 26 delegates
• The ‘Legal Update for Strategic Leaders’ attended by 14 Board partners
• The Mental Capacity Act Survey completed by 186 professionals
• Launch of the Prevention Leaflet

• ‘Timelock’ presentation delivered to Board members on how to protect people from financial abuse and scams
• SAR Decision Support Guidance reviewed and new flowchart developed
• Work started to look at Incidents Between Residents and a Task & Finish Group established

2020

• South of the Tees Safeguarding Champions Event attended by 79 delegates from 42 organisations
• The Board’s Adult Safeguarding Awareness Campaign launched to raise awareness of adult abuse and neglect, and included 2 adverts in the local media
• Board Development Day for partners to set out plans for 2019-20
• Annual Consultation Survey received 337 responses from professionals, our highest to date
Making Safeguarding Personal

Making Safeguarding Personal is an initiative applicable to all agencies, which aims to develop a person centred and outcomes focus to safeguarding in supporting people to improve or resolve their circumstances. Across Tees the Board continues to seek assurances that the principles of MSP are embedded within partner agency organisations.

Mary is a 94 year old lady who was admitted to hospital due to ill health, Mary disclosed to medical staff how three months prior, her two friends who had a Lasting Power of Attorney (LPA) for finances had been stealing money from her. This amounted to thousands of pounds, along with other items of Mary’s property. Mary advised that she did not want to cause a fuss, but advised that she did however feel intimidated by her friends. Police were contacted, and staff completed a safeguarding concern with Mary’s consent in line with Making Safeguarding Personal (MSP). Mary reported that she required support in communicating to her friends that she did not want them to have control of her finances. The Police investigation proceeded, and the safeguarding procedures facilitated a solicitor for Mary. Mary was assessed as having the requisite capacity and was supported in changing her LPA for finance.

Lilly is an 88 year old lady who lives alone in a local housing association property. A safeguarding concern was submitted in respect of her being a victim of anti-social behaviour from local youths. A social worker from the Adult Safeguarding Team visited Lilly and spoke directly with her about the concerns and how she was made to feel. Lilly stated that she was scared and felt like a prisoner in her own home. She was not able to sleep and her health was also being impacted. She told the social worker she just wanted to feel safe in her own home again. The social worker liaised with police, however due to a lack of evidence they were unable to progress with a criminal investigation. The Crime Prevention Team were able to implement protective measures. However it was identified that to fully secure the property a fence would need to be erected and a crown of trees where local youths gathered needed to be cut down. Through ongoing persistence and following a sit down meeting with the housing provider, a Protection Plan was agreed and the recommended actions were authorised to be completed. In terms of safeguarding outcomes, this immediately made Lilly feel safer in her own home.

Between August and December 2019, Healthwatch Stockton-On-Tees carried out ‘Enter and View’ visits to 28 care homes for older people across the borough. We wanted to find out what it was like to live in a care home, and during the course of our visits we listened to the voice of the adult and received feedback from 148 residents, 123 relatives/friends of residents and 174 staff members. Feedback in some areas was largely very positive, however there were also a number of issues raised where standards needed to be improved. A number of recommendations were made, and Providers were given a timeframe in which to respond to these. Copies of the final report were circulated to strategic leaders, commissioners and Healthwatch England. We will be presenting an overview of our findings to a scrutiny review of care homes by the Adult Social Care and Health Select Committee in October 2019.
## What we said we would do & what we did

<table>
<thead>
<tr>
<th>Priorities</th>
<th>What we said we would do</th>
<th>What we did</th>
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<tbody>
<tr>
<td><strong>Prevention</strong></td>
<td>Reduce barriers to reporting all forms of abuse.</td>
<td>• A Task and Finish Group with representation from Partner Agencies and other community organisations, designed a leaflet to help professionals better understand the barriers that may exist, in relation to the lack of reporting of safeguarding concerns involving adults from ethnic minority backgrounds across Tees.</td>
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<td></td>
<td>Help develop stronger communities.</td>
<td>• A ‘Whole Community Approach – Preventing Adult Abuse and Neglect’ leaflet was published. <a href="https://www.tsab.org.uk/professionals/posters/prevention-leaflet-v2/">https://www.tsab.org.uk/professionals/posters/prevention-leaflet-v2/</a></td>
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<td></td>
<td>• New Self-Neglect &amp; Modern Slavery workbooks were developed. <a href="https://www.tsab.org.uk/professionals/training-resources/">https://www.tsab.org.uk/professionals/training-resources/</a></td>
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<td>These specific pieces of work were informed by the results of the Board’s annual survey which illustrated that both professionals and members of the public felt least well informed about Modern Slavery, Self-Neglect &amp; Sexual abuse/exploitation. These forms of abuse are often under reported and less visible forms of abuse.</td>
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<td>• The TSAB Safeguarding Adults leaflet was translated into Kurdish and Polish. The leaflet is now available in 7 different languages.</td>
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<td>• TSAB representatives attended numerous community events and groups including a session with individuals and agencies involved in the support of refugees and those seeking sanctuary. The Board engaged directly with the community and harder to reach groups to ensure that they were given the opportunity for their voices to be heard and to take part in the TSAB Annual Consultation Survey.</td>
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| | | • The Board held an awareness campaign to coincide with the Safeguarding Champions event ‘actively engaging people in raising awareness of adult abuse and neglect, inspiring people to take action to prevent this’.

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### Precaution

A Hartlepool Borough Council initiative involving joint work between Adult Services and Trading Standards is being hailed a success following the installation of a number of trueCall devices in the homes of vulnerable people. The trueCall device screens out unwanted and unsolicited calls which can result in physical, mental or financial harm and scams. The initiative also looked to tackle doorstep crime by providing preventative information to more than 2,000 people who the Council supports through provision of Telecare. Anyone can become a victim of doorstep crime, but statistics show that criminals target the most vulnerable members of society such as older people and 63% of victims are repeat targets as criminals return or provide their details to others.
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<tr>
<th>Priorities</th>
<th>What we said we would do</th>
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</thead>
<tbody>
<tr>
<td>Protection</td>
<td>Develop strategies and guidance for dealing with all types of abuse.</td>
<td>• The ‘Making Safeguarding Personal’ guidance was strengthened in line with national developments. This guidance continues to be one of the Boards most accessed online resources.</td>
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<td></td>
<td>Reduce repeat occurrences of abuse.</td>
<td>• All TSAB policies and guidance documents were reviewed to incorporate a ‘think family’ approach.</td>
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<td>Provide effective and consistent responses to reported abuse.</td>
<td>• Focused work commenced to look more closely at safeguarding incidents occurring between residents in care homes. The outcome of this work will be reported in 2019-20.</td>
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<td>• The Operational Leads Sub-Group held focussed discussions on Domestic Abuse and heard about the new Whole Systems Approach adopted by Cleveland Police. Work to understand the nature of repeat occurrences of this type of abuse will be carried out in 2019-20.</td>
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<td></td>
<td>• There was a 77% increase in the number of Section 42 Enquiries carried out relating to Domestic Abuse.</td>
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<td>• Exemplar forms for submitting Safeguarding Concerns and SAR Notifications were developed to improve the quality of information received and to assist with a timely response at the point of contact.</td>
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**Protection**

“Gerald has dementia and often wanders, which keeps him calm. He was unable to consent to being in hospital as he lacked capacity, however he needed to remain here in order to be safe. Keeping him in hospital without his consent would have interfered with his Article 5 Human Right to Liberty. Staff therefore used the DoLS to ensure this right was protected during his stay. The DoLS allowed Gerald to remain in hospital and for a member of the Therapeutic Care Team to be with Gerald at all times, keeping him safe as he walked, calming himself in the process.”
A report was made to Cleveland Police involving a vulnerable male who had suffered serious injuries following an attack with a corrosive substance. The victim disclosed how he had been trafficked into the UK and forced to grow cannabis and was regularly beaten by his captors and had limited access to food. Cleveland Police Vulnerable, Exploited, Missing and Trafficked team liaised with services including the NHS, Social Care, Housing, Salvation Army and the National Crime Organisation, arranging urgent medical care for the victim. Temporary accommodation was provided to the victim whilst initial enquiries were carried out, leading to permanent accommodation being sought out of area, safely away from the traffickers.

As a result of the investigation meetings were held between VEMT and other services, resulting in the creation of a victim pathway in which each service had a clearly defined role. The victim has remained in contact with VEMT, supported the investigation fully and is successfully beginning to learn English, which has resulted in him now being employed and living independently.

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<tr>
<th>Priorities</th>
<th>What we said we would do</th>
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<tbody>
<tr>
<td>Partnership</td>
<td>Develop relevant partnerships around priority issues.</td>
<td>• The Board has a strong multi-agency commitment to keeping people safe and invited local agencies to deliver presentations focusing on key safeguarding themes, improving knowledge and understanding of the work of other organisations and their roles in safeguarding.</td>
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<td></td>
<td>Ensure statutory agencies work together in an effective manner.</td>
<td>• Presentations this year included: <em>Tees All Age Autism Partnership, Local Criminal Justice Board, Timelock, MATAC (Multi-Agency Tasking and Co-ordination), Newcastle City Council: Joint Serious Case Review (concerning sexual exploitation of young women).</em></td>
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<td></td>
<td>Work more closely with partners in children focussed and community safety services.</td>
<td>• Board representatives became and continue to be actively involved in the Cleveland Anti-Slavery Network, which brings together a range of organisations in tackling the issue of Modern Slavery.</td>
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<td>• Members of the Communication and Engagement Sub-Group became involved with the Teeswide Violence Against Women and Girls Communication Network.</td>
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<td>• The Board approved a revised version of the Inter-Agency Safeguarding Adults Concern Form.</td>
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<td>• The Safeguarding and Promoting the Welfare of Children and Adults at Risk Policy was relaunched.</td>
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<td>• The Board’s E-learning contract was reviewed in partnership with the Tees Local Safeguarding Children Boards.</td>
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<tr>
<td>Priorities</td>
<td>What we said we would do</td>
<td>What we did</td>
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<tr>
<td>Professional Accountability</td>
<td>Gain assurance about the effective delivery of services.</td>
<td>• Nine partner agencies came together to carry out four themed multi-agency audits (one in each Local Authority area). A small number of cases were sampled and looked at the following themes: Incidents Between Residents, No Further Action (under safeguarding), Self-Neglect and Hospital Discharges.</td>
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<td></td>
<td>Ensure the voice of the service user helps to shape professional practice.</td>
<td>• Three Local Authorities and the two CCGs completed the Quality Assurance Framework/ Self audit tool this year and provided an assurance report to the Board.</td>
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<td>Deliver and achieve the Board's performance benchmarks.</td>
<td>• A survey was carried out to seek views and feedback from professionals on the TSAB Inter-agency Safeguarding Adult Procedures. Eighty six responses were received and the procedures were reviewed and updated. A further survey is due to be undertaken in 2019-20 to determine how well the changes have been implemented into practice.</td>
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<td>• The TSAB Professional Challenge procedure was developed and launched to provide a framework to enable professionals to formally challenge decisions made within Safeguarding Adult processes. <a href="https://www.tsab.org.uk/key-information/policies-strategies/">https://www.tsab.org.uk/key-information/policies-strategies/</a></td>
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<td>• The Board worked with a representative from the Crown Prosecution Service to review some cases where professionals felt that the case should have proceeded to a positive charging decision. This work resulted in the reconsideration of the prosecution decision in some cases, and learning was shared across all agencies involved.</td>
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<td>• The TSAB Performance Indicators (PI) assisted in determining how effectively policies and procedures were being delivered; three out of five PIs were achieved, see page 8.</td>
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**Professional Accountability**

Redcar & Cleveland Borough Council undertook a themed audit regarding safeguarding practice where a resident on resident concern had occurred in a care home setting. The audit highlighted comprehensive and sound rationale at the decision-making stage with reference to the Care Act and Teeswide Safeguarding Adult’s Board decision support tool; and a proportionate response in consultation with the right agencies and professionals. As part of the Teeswide Safeguarding Adult’s Board Quality Assurance Framework, each partner agency is required to undertake a biennial self-assessment, the results of which are fed back to the Board. Redcar & Cleveland completed the Quality Assurance Framework (QAF) self-audit process as part of the framework in January 2019. The self-assessment process assisted us in identifying areas of best practice, and supported our focus on the further development of safeguarding practice over the next 3 years. The sharing of learning across agencies, and local authority areas, continues to invaluably contribute to practice improvements in safeguarding adults.

**Hartlepool and Stockton on Tees and South Tees Clinical Commissioning Groups (CCGs)**

The Director of Nursing and Quality and Heads of Quality and Adult Safeguarding for the CCGs continue to take an active role in the business of the Board and sub groups. The CCGs commission from most health providers across Teesside and work with them to ensure that services are delivered in accordance with sound safeguarding principles. This is demonstrated by compliance with the enhanced requirements included in the service contracts and local quality requirements and both CCG’s have completed the quality assurance framework self-assessment. As part of the approach adopted when working with providers and partners, the CCGs are also active members of the safeguarding governance groups of our main NHS providers and complete regular multi-disciplinary team led clinical assurance visits (CAV). These provide opportunities for sharing of knowledge and learning, as well as the provision of assurance around quality and standards of service. CAV’s are also conducted in the smaller independent sector providers that are commissioned across Teesside.
Teeswide Safe Place Scheme

The Teeswide Safe Place Scheme aims to create and develop a network of safe places in key community locations throughout each Tees Borough, for anyone who feels vulnerable, threatened or anxious due to real or perceived behaviour of others around them.

The scheme, which promotes the well-being and independence of adults, is supported by Cleveland Police, the Office of the Police and Crime Commissioner for Cleveland, the four Local Authorities across Tees and independent agencies.

There are now 102 locations across Tees:

- **25** Hartlepool
- **42** Stockton-On-Tees
- **16** Middlesbrough
- **19** Redcar and Cleveland

There were some new venues introduced to the scheme this year, including more Cleveland Fire Brigade locations and there was some keen interest from the Department of Work and Pensions (Jobcentres) and HSBC Banks, as well as local independent agencies in joining the scheme.

Efforts will continue to recruit more venues into the scheme across Middlesbrough and Redcar & Cleveland in 2019/20 as well as completing an audit of the existing venues across Tees to ensure that they remain suitable.

The TSAB website hosts all of the necessary resources, list of venues and a Google map of all participating locations. [https://www.tsab.org.uk/key-information/find-support-in-your-area/safeplace-scheme/](https://www.tsab.org.uk/key-information/find-support-in-your-area/safeplace-scheme/)
Communication and Engagement

Communication and engagement activity helps to connect with people to ensure “safeguarding is everybody’s business”. The Board has a strong social media presence, which provides a far-reaching platform on which to spread some key safeguarding messages as well as an opportunity to increase awareness throughout local communities and amongst professionals.

The Board published four Newsletters & seven E-Bulletins which were read 6,136 times. The number of reads is a slight decrease from last year’s figure. This reduction may be as a result of the introduction of General Data Protection Regulation, as the Board now operates on an ‘opt in’ basis and requires consent from individuals to enable them to subscribe to the circulation network.

‘Challenge of Prevention’ Safeguarding Conference

The aim of the conference was to promote closer working between partners to prevent adult abuse and neglect. The conference was the Board’s largest event to date with 86 delegates attending from across 40 organisations.

Annual Consultation Survey

The Board delivered two consultation surveys, one aimed at the public and one for professionals. The results of which informed and assisted in the development of the TSAB Strategic Plan 2019-20.

Adults with care and support needs are routinely involved in the Board’s consultation work to ensure service users’ views, needs and experiences remain at the centre of the Board’s work.

77% Of professionals felt the Board is making a difference to help prevent adult abuse and neglect

75% Of the public knew how to report adult abuse and neglect

503 Completed Surveys
MATAC forms part of the work of the Whole System Approach project team that provide a problem solving and preventative approach to dealing with victims and perpetrators of domestic abuse.

This Police led initiative involves over 20 agencies coming together to share information and to take an in-depth look into the background and behaviour of domestic abusers. Serial perpetrators of domestic abuse are identified by reviewing the Police information systems to select those that have caused harm most recently, with high frequency, and/or severity against multiple victims.

In an attempt to reduce reoffending, perpetrators are offered support with underlying issues such as substance misuse, mental health problems and housing issues, and are strongly encouraged to attend behaviour change programmes. Victims and any children involved are also provided with specialist support and intervention.

The initiative has just passed its first year and of the forty three perpetrators identified twenty two have been discharged after a significant reduction in their offending and have not committed any further domestic abuse related offences.

Following the issuing of a Restraining Order to a MATAC identified perpetrator who had been sentenced to a term of imprisonment, it came to light during a multi-agency meeting that the victim was receiving unwanted contact from the perpetrator from prison, in breach of the order. The victim who was extremely vulnerable and accessing adult social care services, was referred to a specialist domestic abuse support agency and her GP regarding mental health concerns. The victim requested Police involvement and was supported by involved agencies to provide a witness statement. Contact was also made with the prison to restrict the perpetrators calls. The victim was satisfied with the outcome, feeling relieved, and has continued to engage with services. There has been no further reports of abuse.

The Stay Safe and Warm campaign is led by Cleveland Fire Brigade and celebrated its tenth year in operation in October 2018.

Following a free home assessment, equipment on loan includes electric heaters, thermal blankets and flasks. The Brigade is also able to advise on who to turn to for advice on managing fuel bills.

Where funding is available, referrals can be made for free boiler repairs or replacements.

Cleveland Fire Brigade assisted 749 people to stay safe and warm in their home in 2018-19.
The Board launched its new Legal Literacy Course in July 2018, with 26 delegates attending, of which 100% rated it either good or excellent.

‘I feel more confident in using the law effectively to uphold people’s rights and achieve better outcomes for vulnerable people, promoting a strengths-based approach’.

‘The training will enable me to make better decisions based on a clear knowledge of legislation, statutory duties and multi-agency responsibilities’.

‘I now have a deeper understanding of applying legislation in practice’.

The new joint E-learning portal with the Local Safeguarding Children Boards (LSCB) in Tees was launched. The new catalogue of courses includes training packages relevant to professionals working only with adults or children, and joint courses incorporating both adults and children in line with ‘think family’.

The Intercollegiate document was published which had an impact on the safeguarding training that health care staff need to undertake. This was mapped against the Safeguarding Competencies incorporated into the TSAB Training Strategy and Training Plan.

North Tees and Hartlepool Foundation Trust has recently implemented the intercollegiate document on the role and responsibilities for health care staff. Within these changes we have been carrying out more in-depth face to face training. The training has placed more focus on domestic abuse, self-neglect and modern slavery. The feedback from the students has been very positive and includes:

“a great course providing me with the knowledge I need to do my job more effectively and safely”

“I am now more aware of the new changes regarding safeguarding and will be sharing my knowledge with colleagues and ward staff as part of my role”.

Across Cleveland we have refreshed and renewed our training for Probation Officers who work with sex offenders to ensure we are working in the most evidence-based ways to reduce risk of harm and re-offending.

The Board’s training activity has continued to grow year on year.

- 148 Safeguarding Champions
- 228 Workbooks completed
- 296 professionals attended training events
- 12,431 Virtual College Courses requested
## What did we learn?

<table>
<thead>
<tr>
<th>Concern Forms</th>
<th>Outcomes</th>
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<tbody>
<tr>
<td>• Concern Forms were of a variable quality.</td>
<td>An example of a completed Concern Form was developed and shared in the TSAB newsletter and in delegate training packs.</td>
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<thead>
<tr>
<th>Making Safeguarding Personal</th>
<th>Outcomes</th>
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<tbody>
<tr>
<td>• The adult’s views were not always sought at the point of raising a concern.</td>
<td>The TSAB Making Safeguarding Personal guidance was refreshed and published on the TSAB website.</td>
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<tr>
<td>• There were also good examples of the adult being fully involved and engaged throughout enquiries.</td>
<td>The TSAB Concern Form was reviewed to place more emphasis on the adult at risk and seeking their views.</td>
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<td>• There was good use of advocacy.</td>
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<tr>
<th>Mental Capacity Assessment</th>
<th>Outcomes</th>
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<tbody>
<tr>
<td>• There was evidence of good practice once the concern had been received by the Local Authority.</td>
<td>New Legal Literacy training was launched in July 2018 and emphasises the need for robust Mental Capacity Assessment.</td>
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<td>• There was a lack of robust consideration of capacity at the point of the concern being raised.</td>
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<tr>
<th>Safeguarding Enquiries</th>
<th>Outcomes</th>
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<tr>
<td>• Enquiries were proportionate and inclusive.</td>
<td>Recording on case management systems was improved regarding the recording of Section 42 Enquiries to ensure a consistent approach across the four Local Authorities.</td>
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<td>• There was good use of relevant alternative approaches, e.g. care management, signposting to support services, use of complaints policy.</td>
<td>TSAB documentation was promoted via newsletters, E-bulletins and team meetings.</td>
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<tr>
<td>• Most enquiries were within reasonable timescales.</td>
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<tr>
<td>• There was an inconsistent approach to recognising when the Section 42 duty is met and then recorded on case management systems.</td>
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<tr>
<td>• There was variable use of the TSAB Decision Support Guidance and Self-Neglect risk assessment tools.</td>
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Safeguarding Adults Review

**Safeguarding Adults Review (SAR) Definition**

The Care Act 2014 says that Safeguarding Adults Boards must arrange a SAR when an adult dies or is seriously harmed as a result of suspected or known abuse or neglect and there is reasonable cause for concern about how partners worked together to safeguard the adult. Agencies who worked with the adult come together to establish if they could have done things differently and reduced the risk of harm or death from happening. A SAR is not about blame, its purpose is to learn from what happened and to see if anything can be changed so that harm is less likely to happen in the same way to other people in the future.

**SAR Sub-Group activity**

The SAR Sub-Group consists of Senior Managers from our key partners and they are responsible for considering new SAR notifications, overseeing any ongoing SARs or other reviews, ensuring any learning from reviews (locally, regionally, nationally) are considered by TSAB partners and taken forward in their own organisations and for overseeing the implementation of action plans arising from SAR activity across Tees.

- The SAR Sub-Group considered 6 SAR notifications this year (compared to 3 in the previous year) and decided that one case met the criteria for a SAR: this review started in March. There were three notifications indicating poor care practice within care homes, which had been or were about to be subject to the TSAB Responding to and Addressing Serious Concerns (RASC) procedures. Although none of these cases met the criteria for a SAR, the Sub-Group agreed to carry out a review of the lessons learned from implementing the RASC to identify any common learning. The remaining 2 cases did not meet the criteria for a SAR.

- The SAR Sub-Group monitored the action plan in relation to the SAR Carol case which was published in 2017 and good progress was made by our partners to ensure the learning from this case was taken forward within their own organisations. The group also continued to oversee the implementation of the actions and learning from 4 single agency reviews.

- The SAR Sub-Group considered learning from 8 SARs and 2 thematic reviews, regionally and nationally; summaries of these reports are available at [www.tsab.org.uk](http://www.tsab.org.uk) and are shared with TSAB partners following each SAR Sub-Group meeting.

**What has the Board done?**

**Locally:**

- The arrangements for End of Life care have been reviewed and strengthened following a single agency review.

- Improvements have been made to procedures relating to healthcare professionals who visit care homes to improve communication between staff.

- The TSAB Decision Support Guidance has been updated to include more detail when considering Domestic Abuse cases and to ensure a proportionate response to SAR notifications.

- The thematic review on the TSAB’s RASC procedures identified the following five key areas for learning: leadership and management; staff behaviour, attitude and competency; safeguarding teams; partnership working; and commissioning and contract compliance. It was identified that emphasis needs to be placed on managing risk and to support services at an earlier stage, which may prevent the service from declining and being placed into serious concerns.

**Regionally and Nationally:**

- Newcastle City Council presented the learning from their Joint Serious Case Review (historical sexual exploitation affecting girls and young women) which was a good opportunity for the SAR Sub-Group to explore similar issues across Tees. As a result of this the Board developed a Protecting Adults from Sexual Abuse and Exploitation leaflet and also included Sexual Exploitation within the Safeguarding Champions event. The Board has strengthened reporting mechanisms and 37 safeguarding concerns were raised in 2018-19 relating to sexual exploitation. Plans have started to deliver a conference in 2019 which will focus on exploitation. The Board will also be strengthening links with professionals working with children at risk of exploitation in 2019-20.

- The Board’s web page on organisational abuse has been updated in response to the Nightingale Homes thematic review to highlight ‘What a good organisation looks like’.
The TSAB Strategic Business Plan 2019-20 was developed following the results of the annual survey, informed directly by professionals and service users, as well as from feedback and evaluation from strategic leaders and operational staff in attendance at the Boards Development Days.

Top 3 priorities identified by professionals and service users:
1. Improve general awareness of safeguarding and how people can protect themselves.
2. Help efforts to reduce loneliness and isolation across Tees.

Our focus will remain on developing effective preventative and early intervention strategies that will work to prevent and respond to adult abuse. This will include a key focus on ensuring that people are able to access early help and preventative services, whilst at the same time ensuring the individual is placed at the centre of all safeguarding work; encouraging a strengths-based approach supported by the principles of Making Safeguarding Personal. The Strategic Plan on a page can be viewed here: https://www.tsab.org.uk/key-information/annual-reports/

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**Prevention**

**Aim:**
We will develop strategies that reduce the risk of abuse

**Protection**

**Aim:**
We will work effectively together to ensure the protection of adults

**Partnership**

**Aim:**
We will develop a whole community approach to the prevention of abuse

**Professional Accountability**

**Aim:**
We will work to ensure the accountability of all partners in protecting adults at risk of abuse
Appendix – Local Authority Data 2018/19

Number of Concerns and Section 42 Enquiries

- Hartlepool
- Middlesbrough
- Redcar & Cleveland
- Stockton-On-Tees

Deprivation of Liberty Safeguards (DoLS)

- Hartlepool
- Middlesbrough
- Redcar & Cleveland
- Stockton-On-Tees

7,114 DoLS applications received across Tees